

In re Application of: David H. Gri singer
For: 5-2-5 Matrix Encoder and Decoder System
Attorney Docket No: 11336-641 (P98007USC)
"Express Mail" mailing label number: EV 079495138 US
Date of Deposit: October 17, 2003

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& LIONE

22388 U.S. PTO
10/687676



UTILITY PATENT APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted with this Utility Patent Application Transmittal (in duplicate) (2 pages each) is:

- ☒ A new application under 37 C.F.R. §1.53(b).
☒ A ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) under 37 C.F.R. §1.53(b) of prior application no. 09 /146,442.

Prior application information: Examiner: Lun S. Lao Group Art Unit: 2643

- ☐ Maintenance of copendency of prior application: A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as indicated below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The following application elements and other papers are attached:

1. ☒ Specification, including 64 pages of application (including title page, claims and Abstract), 17 sheet(s) of drawings, and the following Appendices:
- 2a. ☒ Combined Declaration and Power of Attorney (2 pages)
☐ Newly-executed (original or copy)
☒ Copy from a prior application (37 CFR §1.63(d))
☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53(d)(4). Please DELETE the following inventor(s) named in prior nonprovisional application no: _____:
- 2b. ☒ Subsequent Power of Attorney (1 page) appointing the undersigned, copy from a prior application
3. ☐ Small Entity Status:
☐ Applicant hereby asserts entitlement to claim small entity status under 37 CFR §§ 1.9 and 1.27.
☐ A small entity statement or assertion of entitlement to claim small entity status was filed in prior application no. _____ / _____ and such status is still proper and desired.
☐ Is no longer desired.
4. Fee calculation:

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	38-20	18
Indep. Claims	6-3	3
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 385
x\$9=	\$
x\$43=	\$
+\$145=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 770
18x\$18=	\$324
3x\$86=	\$258
+\$290=	\$
Total	\$1352

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5. Fee payment :

- ☒ A check in the amount of \$1352.00 to cover the filing fee is enclosed.
- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed.
- ☒ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

6. ☐ Certified copy of priority document(s)

☐ is attached or ☐ has been filed in prior application no. ____ / ____.

7. ☐ English Translation Document

☐ is attached or ☐ has been filed in prior application no. ____ / ____.

8. ☐ Preliminary Amendment:

- ☐ A Preliminary Amendment is attached.
- ☐ Cancel in this application original claims ____ of the prior application before calculating the filing fee.
- ☐ Please amend the specification by inserting before the first line the sentence:
"This is a ☐ continuation ☐ divisional ☐ continuation-in-part of copending application(s) serial no. ____ / ____ filed on ____.
- ☐ A "Petition to Suspend Prosecution For the Time Necessary to File an Amendment (New Application Filed Concurrently)" is attached.

9. ☐ Information Disclosure Statement, including Form PTO-1449 (____ sheets) and copies of references cited

10. ☒ An Assignment to Lexicon was previously recorded on February 5, 1999 at Reel 9761, Frame 0253; and a subsequent Assignment from Lexicon to Harman International Industries, Incorporated was filed on September 29, 2003.

11. ☐ Nonpublication Request under 35 USC §122(b)(2)(B)(i)

12. ☐ Other: _____

13. ☒ Return Postcard

14. The power of attorney in the prior application is to: Susan D. Reinecke (Reg. No. 40,198).


- ☐ The power appears in the original papers in the prior application.
- ☒ The power does not appear in the original papers in the prior application, but was filed on: May 21, 2002
- ☐ A new power has been executed and is attached.

15. Correspondence Address: Please address all future communications to:

Susan D. Reinecke
BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

Respectfully submitted,

10/17/03
Date



Susan D. Reinecke (Reg.No. 40,198)
☒ Attorney/Agent Of Record
☐ 37 C.F.R. 1.34(a)